## AP3 Rec'd PCT/PTO 16 MAY 2007

### NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US)
Under 35 USC 371

Attorney Docket No.: WATAB5.001APC
First Named Inventor: Masayoshi SU2U2 / 579 4 5 9

Int'l Application No.: PCT/JP2004/017063

Int'l Filing Date: November 17, 2004 Priority Date Claimed: November 19, 2003

Title: OPTICAL CONNECTION STRUCTURE AND OPTICAL

CONNECTION METHOD

Express Mail Label No.: EV 319019531 US

Direct all correspondence to Customer No.: 20995

Date: May 16, 2006

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United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

#### Inventors:

1. Masayoshi SUZUKI

2. Kyoichi SASAKI

3. Tatsushi KOBAYASHI

#### **APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) Declaration and Power of Attorney in two (2) pages.

#### OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in eight (8) pages.
- (X) Twenty six (26) sheets of Drawings.
- (X) Assignment and Recordation Form Cover Sheet in three (3) pages.
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing eleven (11) references.
  - (X) Enclosing eleven (11) references.
- (X) International Search Report (PCT/ISA/210).
- (X) International Application As Published (WO 2005/050273 A1).
- (X) Form PCT/IB/306 (corrected version) in four (4) pages.

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# NATIONAL PHASE UTILITY APPLICATION

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Attorney Docket No.: WATAB5.001APC

First Named Inventor Constitution No.: Per Proceedings 17653 PTO 16 MAY 2006

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- (X) International Application Request Form in five (5) pages.
- (X) Return prepaid postcard.

#### **FILING FEES:**

FEE CALCULATION							
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION			TOTAL	
Basic Filing Fee under 1.492(a)		1631 (\$300)				\$300	
Search Fee under 1.492(b) (enter one in TOTAL column)							
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		1640 (\$0)				\$400	
(2) USPTO was the ISA (noncompliant claims)		1641 (\$100)					
(3) Foreign Search Report Enclosed		1642 (\$400)					
(4) No Search Report Enclosed		1632 (\$500)					
Examination Fee under 1.492(c) (enter one in TOTAL column)							
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		1643 (\$0)				\$200	
(2) Non US IPEA or Noncompliant Claims		1633 (\$200)					
Excess Claims	28 - 20 = 8	1615 (\$50)	8	х	50 =	\$400	
Excess Ind. Claims	4 - 3 = 1	1614 (\$200)	1	х	200 =	\$200	
Assignment		8021 (\$40)	1	х	40 =	\$40	
			TOTAL FEE DUE			\$1,540	
TOTAL FEE PAID (If Different Than Fee Due)						\$1,540	

(X) A check in the amount of \$1,540 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

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#### **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Attorney Docket No.

WATAB5.001APC

Applicant(s)

: SUZUKI, et al.

For

OPTICAL CONNECTION STRUCTURE AND

OPTICAL CONNECTION METHOD

Attorney

Katsuhiro Arai

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**Date of Deposit** 

May 16, 2006

I hereby certify that the accompanying

Transmittal letter; Specification in 67 pages; 26 sheets of Drawings; Preliminary Amendment in 8 pages; Declaration and Power of Attorney in 2 pages; Assignment and Recordation Form Cover Sheet in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 11 references; International Search Report (PCT/ISA/210); Form PCT/IB/306 (corrected version); International Application Request Form; International Application As Published (WO 2005/050273 A1); \$1,540 Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nelson Merida

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